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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. **7890**

Application of

Michael G. Coutts et al.

Serial No. **09/229,046**

Filed: **January 12, 1999**

FOR: **TRANSACTION PROCESSING SYSTEMS MAINTENANCE**

**RESPONSE TO
NOTICE TO FILE MISSING
PARTS OF APPLICATION
FILING DATE GRANTED**

MAR 17 1999

Group Art Unit: **2731**

Examiner: **Unknown**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on ~~MAR 17 1999~~ (Date of Deposit).

Shirley Doll (signature)

Shirley Doll

Assistant. Commissioner for Patents
Washington, D.C. 20231
Box Missing Part

Sir:

In response to the above-named Notice dated **February 5, 1999**, relative to the above-named application, Applicant hereby submits signed formal application papers and an assignment for recording to complete the file and authorizes the following fees from NCR Deposit Account No. **14-0225**:
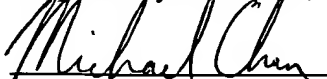
1.	Surcharge for Incomplete Application	$130.00
2.	Assignment and Recording Fee	40.00
	Total Charge	**$170.00**

Please charge any deficiency or credit any overpayment of fees to NCR Deposit Account No. 14-0225.

A copy of said Notice is included herewith.

Examination on the merits is respectfully requested.
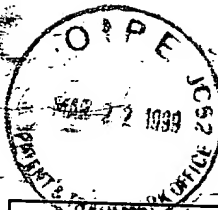
Respectfully submitted,

Michael Chan (signature)

Michael Chan
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMAR
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/229,046	01/12/99	COUTTS	M 7890

0232/0205

MICHAEL CHAN
NCR CORPORATION   LAW DEPARTMETN
INTELLECTUAL PROPERTY SECTION ECD 2
101 WEST SCHANTZ AVENHE
DAYTON OH 45479-0001

NOT ASSIGNED

2731

DATE MAILED:
02/05/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applica is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoi abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CF 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ $65.00 for a sma entity in compliance with 37 CFR 1.27, or ☒ $130.00 for a non-small entity, must also be timely submitted in reply to this NOTIC to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is $ 130 .

☐ 1. The statutory basic filing fee is:
   ☐ missing.
   ☐ insufficient.
   Applicant must submit $ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of $ _____ , including any multiple dependent claim fees, are required.
   $ _____ for _____ independent claims over 3.
   $ _____ for _____ dependent claims over 20.
   $ _____ for multiple dependent claim surcharge.
   Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:
   ☐ is missing or unexecuted.
   ☐ does not cover the newly submitted items.
   ☐ does not identify the application to which it applies.
   ☐ does not include the city and state or foreign country of applicant's residence.
   An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

_____

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A $50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules.
   See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202